



PATENT

Case Docket No. 3WARE.011A  
Date: September 17, 2001

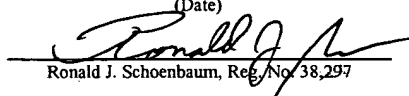
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Jewett, et al.  
Appl. No. : 09/927,894  
Filed : August 10, 2001  
For : ARCHITECTURE FOR  
PROVIDING BLOCK-  
LEVEL STORAGE ACCESS  
OVER A COMPUTER  
NETWORK  
Examiner : UNKNOWN  
Group Art Unit : UNKNOWN

I hereby certify that this correspondence and all  
marked attachments are being deposited with the  
United States Postal Service as first class mail in  
an envelope addressed to: Assistant Commissioner  
for Patents, Washington, D.C. 20231, on

September 17, 2001

(Date)

  
Ronald J. Schoenbaum, Reg. No. 38,297

TRANSMITTAL LETTER

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

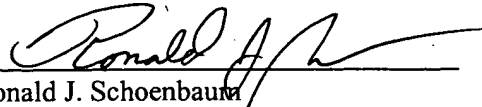
ATTENTION: APPLICATION BRANCH

Dear Sir:

Enclosed for filing in the above-identified application are the following documents:

- (X) An Information Disclosure Statement;
- (X) A PTO Form 1449 listing seven (7) reference, copies of which are enclosed; and
- (X) A return prepaid postcard.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit  
any overpayment, to Account No. 11-1410.

  
Ronald J. Schoenbaum  
Registration No. 38,297  
Attorney of Record

#3



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Jewett, et al. ) Group Art Unit UNKNOWN  
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STORAGE ACCESS OVER A )  
COMPUTER NETWORK )  
Examiner : UNKNOWN )

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Enclosed is form PTO-1449 listing seven (7) references that are also enclosed. This Information Disclosure Statement is being filed within three months of the filing date of this application or upon filing if this is a CPA or RCE, and no fee is required in accordance with 37 C.F.R. § 1.97(b)(1), (b)(2), or (b)(4).

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 9-17-01

By: Ronald J. Schoenbaum  
Ronald J. Schoenbaum  
Registration No. 38,297  
Attorney of Record  
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Newport Beach, CA 92660  
(949) 760-0404

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
3WARE.011AAPPLICATION NO.  
09/927,894INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

SEP 26 2001

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANTS  
Jewett, et al.FILING DATE  
August 10, 2001GROUP ART UNIT  
UNKNOWN

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

EXAMINER  
INITIAL

## OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

	Carlson, M., Mali, S., Merhar, M., Monia, C., Rajagopal, M., "A Framework for IP Based Storage," Internet Draft Document printed from ietf.org web site, November 17, 2000, pp. 1-36.
	Gibson, G., Nagle, D., Amiri, K., Chang, F., Feinberg, E., Gobioff, H., Lee, C., Ozceri, B., Riedel, E., and Rochberg, D., "A Case for Network-Attached Secure Disks," Technical Report, CMU-CS-96-142, Department of Electrical and Computer Engineering, Carnegie-Mellon University, September 26, 1996, pp. 1-19.
	Hotz, S., Van Meter, R., and Finn, G., "Internet Protocols for Network-Attached Peripherals," Proc. Sixth NASA Goddard Conference on Mass Storage Systems and Technologies in cooperation with Fifteenth IEEE Symposium on Mass Storage Systems, March 1998, pp. 1-15.
	Lee, E., and Thekkath, C., "Petal: Distributed Virtual Disks," ACM Seventh International Conference on Architectural Support for Programming Languages and Operating Systems, ACM Digital Library, October 1996, pp. 84-92.
	Perkins, C., and Harjono, H., "Resource Discovery Protocol for Mobile Computing," Mobile Networks and Applications, Volume 1, Issue 4, 1996, pp. 447-455.
	Tierney, B., Johnston, W., Herzog, H., Hoo, Guojun, J., Lee, J., Chen, L. and Rotem, D., "Distributed Parallel Data Storage Systems: A Scalable Approach to High Speed Image Servers," Proceedings of the Second ACM International Conference on Multimedia, October 1994, pp. 399-405.
	Van Meter, R., Finn, G., and Hotz, S., "VISA: Netstation's Virtual Internet SCSI Adapter," Proceedings of the Eighth International Conference on Architectural Support for Programming Languages and Operating Systems, October 1998, pp.71-80.

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091701

EXAMINER

DATE CONSIDERED

\*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.